

# Strategas Global Policy Opportunities ETF (SAGP)

## STRATEGY OVERVIEW



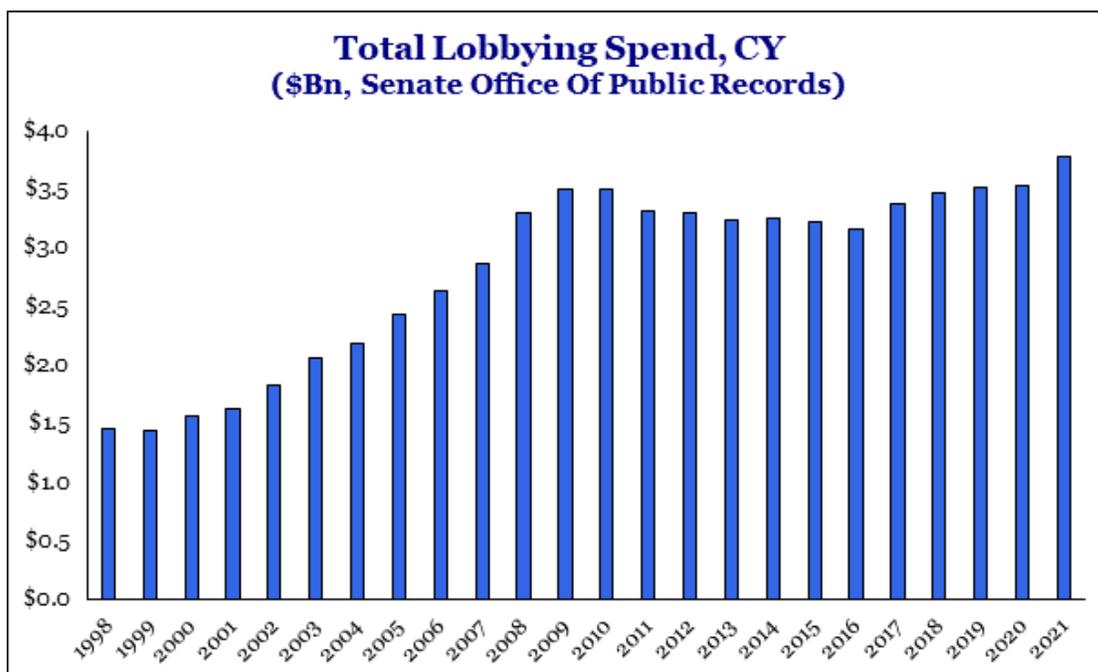
Public policy is cementing itself into a super-cyclical investment theme that will be important across both business and political cycles. As regulatory and legislative developments cast a larger shadow over financial markets, government policy is also becoming more important for company earnings. Strategas believes that corporate lobbying can produce positive benefits through successful policy outcomes. The operational and competitive advantages gained by lobbying may often lead to tangible improvement in company fundamentals. The Strategas Global Policy Opportunities ETF was crafted with the goal of identifying US large-cap, US small and mid-cap, and non-US large-cap companies that we feel are best positioned for an earnings benefit from policy changes.

### ABOUT STRATEGAS GLOBAL POLICY OPPORTUNITIES ETF

The Fund is an actively managed Exchange Traded Fund that seeks to achieve its objective by investing in the common stocks of U.S. and non-U.S. companies interested in influencing public policy through their lobbying activity in Washington D.C. The strategy is composed of the companies within our universes that have the highest 'lobbying intensity', a proprietary Strategas formula which is used to normalize lobbying data across companies.-The Portfolio Managers assesses quarterly lobbying activity in connection with public quarterly LDA filings. The strategy is updated quarterly to account for the latest filings. In our view, this creates a longer duration policy strategy that can evolve as new policy issues emerge and existing issues are resolved. The Lobbying Disclosure Act (the "LDA") is U.S. federal legislation that requires the disclosure of lobbying activities intended to influence the U.S. federal government.

### DISCIPLINED INVESTMENT PROCESS

The Fund's investment strategy leverages publicly disclosed corporate lobbying data filed pursuant to the Lobbying Disclosure Act (the "LDA") to assess the degree to which companies included in the S&P 500, S&P 1000, and MSCI All Country World Index lobby the U.S. federal government. Securities in the fund are selected based on a company's lobbying intensity and the Fund determines a weighting and allocation strategy among U.S. large, U.S. medium and small, and non-U.S. large capitalization companies based on Strategas Securities' asset allocation recommendations.



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### PORTFOLIO MANAGEMENT EXPERTISE



**Dan Clifton, Portfolio Manager & Head of Policy Research**, serves as Partner at Strategas Research Partners and Lead Portfolio Manager for the Strategas Global Policy Opportunities ETF. Mr. Clifton leads one of the top ranked Washington policy research teams on Wall Street according to *Institutional Investor*. Daniel has been ranked as a top Washington analyst in each of the past 13 years and a top analyst in the category of Tax & Accounting for the past four years.



**Courtney Rosenberger, Portfolio Manager & Washington Research Analyst**, serves as Managing Director at Strategas Research Partners and Portfolio Manager for the Strategas Global Policy Opportunities ETF. Ms. Rosenberger directs Strategas' policy research efforts and connects the specific investment implications from changes in public policy initiatives. Ms. Rosenberger graduated magna cum laude with a BBA in Finance and BS in Economics from the University of Kentucky.



**Nicholas Bohnsack, Head of Portfolio Strategy**, serves as President and COO of Strategas Research Partners as well as CEO of Strategas Asset Management and Portfolio Manager for the Strategas Macro Thematic Opportunities ETF. Mr. Bohnsack is responsible for asset management, portfolio management, macro research, sales and trading and capital markets. Mr. Bohnsack received a BS in Economics from Bryant University and a MS in Mathematics from Fairfield University.

**Carefully consider each Fund's investment objectives, risk, and charges and expenses. This and other information can be found in the Fund's summary or full prospectus which can be obtained by calling 855-457-3637 or by visiting [strategasetfs.com](http://strategasetfs.com). Please read the prospectus carefully before investing. Each Fund is new and has a limited operating history.**

Strategas Asset Management, LLC serves as the investment advisor for each Fund and Vident Investment Advisory, LLC serves as a sub advisor to each Fund. The Funds are distributed by SEI Investments Distribution Co. (SIDCO), which is not affiliated with Strategas Asset Management, LLC or any of its affiliates. Shares of any ETF are generally bought and sold at market price (not NAV) and are not individually redeemed from the fund. Brokerage commissions will reduce returns. An investment in the Fund involves risk, including possible loss of principal. In addition to the normal risks associated with investing, the Strategas Global Policy Opportunities ETF (SAGP) is subject to lobbying focused investment risk. The advisor's investment process utilizes lobbying intensity as the primary input when selecting investments for the Fund's portfolio and does not consider an investment's traditional financial metrics. The Fund may underperform other funds that select investments utilizing more traditional investment metrics. The Fund may also focus its investments in a particular country or geographic region outside the U.S. and may be more susceptible to economic, political, regulatory or other events or conditions affecting issuers and countries within that country or geographic regions well as risks of increased volatility and lower trading volume.

In addition to the normal risks associated with investing, the Strategas Global Policy Opportunities ETF (SAGP) is subject to macro-thematic trend investing strategy risk. Therefore, the value of the Fund may decline if, among other reasons, macro-thematic trends believed to be beneficial to the Fund do not develop as anticipated or maintain over time, or the securities selected for inclusion in the Fund's portfolio do not perform as anticipated. Diversification may not protect against market risk.

Both funds may be more heavily invested in particular sectors and may be especially sensitive to factors and economic risks that specifically affect those sectors. These funds may trade securities actively, which could increase its transaction costs (thereby lowering its performance) and could increase the amount of taxes you owe by generating short-term gains, which may be taxed at a higher rate.